

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**  
(Attorney Docket No.: 109410.120)

~~Applicant(s):~~ Chen, et al.

Serial No.: 09/882,502 Examiner: Not Yet Assigned

Filed: June 15, 2001 Group Art Unit: 2122

## For: MACHINE LEARNING METHOD

Assistant Commissioner for Patents  
Washington, D.C. 20231

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**CERTIFICATE UNDER 37 C.F.R. 1.8(a)**

I hereby certify that this correspondence is being as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on the date set forth below.

Date \_\_\_\_\_

Anthony W. Sutton

## **TRANSMITTAL LETTER**

**Commissioner:**

Enclosed for filing are the following documents:

- 1.) Information Disclosure Statement with Statement under 37 C.F.R. 1.97(e)(1);
- 2.) Form PTO-1449, including 10 documents;
- 3.) A copy of the International Search Report from PCT/US02/11194; and
- 4.) Return Postcard.

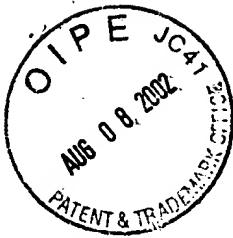
Please charge any deficiency or credit any overpayment in connection with this matter to  
Deposit account No. 08-0219.

Respectfully submitted,

Date: 8/5/2002

Donald R. Steinberg  
Reg. No. 37,241  
Attorney for Applicants

Hale and Dorr LLP  
60 State Street  
Boston, Massachusetts 02109  
Tel: (617) 526-6453  
Fax: (617) 526-5000



PATENTS

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on the date set forth below.

Date: *August 5, 2002*

*[Signature]*  
Anthony W. Sutton

INFORMATION DISCLOSURE STATEMENT  
WITH STATEMENT UNDER 37 C.F.R. § 1.97(e)(1)

Commissioner:

Applicants and their legal representatives hereby make of record on the attached PTO Form-1449 the following publications, which are known to them and considered warranting disclosure under 37 C.F.R. §1.56. Copies of each of these publications are enclosed.

Applicant states under 37 C.F.R. §1.97(e)(1) that the publications were cited in an International Search Report issued in connection with a counterpart Patent Cooperation Treaty application No. PCT/US02/11194 not more than three months prior to the filing of this statement. A copy of the International Search Report is also enclosed for the Examiner's review.

It is respectfully requested that the information above be expressly considered during the prosecution of this application and that the publications be made of record therein and appear among the "References Cited" on any patent to issue therefrom. In this regard, it is requested that the Examiner initial and return a copy of the enclosed Form PTO-1449 with the next Patent Office Communication.

Serial No. 09/882,502

Chen, et al.

This submission does not represent that a search has been made and does not constitute an admission that the listed documents are material to patentability or that the listed documents are prior art. If it should be determined that any of the listed documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

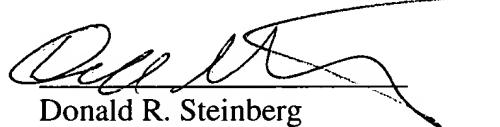
Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits and is therefore submitted as both timely and proper; therefore, no fees are believed to be due.

The Commissioner, however, is hereby authorized to charge any fees necessary to maintain the pendency of this application to Deposit Account No. 08-0219.

Respectfully submitted,

Date: 8/15/2002



Donald R. Steinberg  
Registration No. 37,241  
Attorney for Applicant

Hale and Dorr LLP  
60 State Street  
Boston, Massachusetts 02109  
Tel: (617) 526-6453  
Fax: (617) 526-5000